

AVAGO TECHNOLOGIES, LTD.  
P.O. Box 1920  
Denver, Colorado 80201-1920

RECEIVED  
CENTRAL FAX CENTER ATTORNEY DOCKET NO. 70040065-1  
SEP 08 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Chua et al.

Serial No.: 10/761,762

Examiner: Richards, N. Drew

Filing Date: January 21, 2004

Group Art Unit: 2816

Title: DEVICE AND METHOD FOR EMITTING OUTPUT LIGHT USING GROUP IIB ELEMENT SELENIDE-BASED PHOSPHOR MATERIAL

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:  
☐ Statement under CFR 1.97(e), or  
☐ \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a  
Statement under 37 CFR 1.97(e), *and*  
\$180.00 fee as set forth in 37 CFR 1.17(p).  
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (\*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, now U.S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

ATTORNEY DOCKET NO. 70040065-1

☐ **FEE AUTHORIZATION**

Please charge to Deposit Account 50-3718 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-3718 pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

☐ First Class Mail☐ "Express Mail Post office to Addressee" service under 37 CFR 1.10.

Express Mail Label No.

Date of Deposit:

In an envelope addressed to: Commissioner for Patents,  
P.O. Box 1450, Alexandria VA 22313-1450.

OR

☒ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile: September 8, 2006

Typed Name: Thomas H. Ham

Signature: Thomas H. Ham

Respectfully submitted,

Chua et al.

By Thomas H. Ham

Thomas H. Ham  
Attorney/Agent for Applicant(s)

Reg. No. 43,654

Date: September 8, 2006

Telephone No. (925) 249-1300

Form PTO-1449	ATTORNEY DOCKET NO. 70040065-1	SERIAL NO. 10/761,762
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Chua et al.	
	FILING DATE January 21, 2004	GROUP 2815
(Use several sheets if necessary)		

RECEIVED  
CENTRAL FAX CENTER

SEP 08 2006

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME
	5,093,654	03/03/1992	Swift et al.
	5,294,833	03/15/1994	Schetzina
	5,677,594	10/14/1997	Sun et al.
	6,074,575	06/13/2000	Sugioka et al.
	6,613,247 B1	09/02/2003	Hohn et al.
	6,870,311 B2	03/22/2005	Mueller et al.
	2003/0127659 A1	07/10/2003	Bawendi et al.
	2004/0124758 A1	07/01/2004	Danielson et al.

## FOREIGN PATENT DOCUMENT

DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

Page 1 of 1